GLOSSARY OF TERMS

AAT	Association of Accounting Technicians
ACCA	Association of Chartered Certified Accountants
CAI	Chartered Accountants Ireland (previously ICAI)
CAT	Certified Accounting Technician
CIM	Chartered Institute of Marketing
CIMA	Chartered Institute of Management Accountants
CIPFA	Chartered Institute of Public Finance & Accountancy
CISI	Chartered Institute of Securities & Investments
CLT	Central Law Training
CMI	Chartered Management Institute
CGQP	Chartered Governance Qualifying Programme
ICA	International Compliance Association
ICAEW	Institute of Chartered Accountants for England & Wales
ICAS	Institute of Chartered Accountants for Scotland
IFA	Institute of Financial Accountants
ILM	Institute of Leadership & Management
loD	Institute of Directors
TCGI	The Chartered Governance Institute (previously ICSA)
МВА	Masters in Business Administration



INVESTMENT & FUNDS QUALIFICATION PATHWAY

This document outlines suggested qualification pathways within the investment & funds industry locally. It has been put together by the GTA University Centre in consultation with representatives from the investment & funds sector.

This booklet is intended to be used for guidance only and should not be interpreted as a statement of mandatory qualifications for the industry as a whole. The purpose of this document is to enable organisations and their employees to chart a path through the wide range of professional programme qualifications available.

Ongoing training in anti-money laundering, IT and Continuing Professional Development is not specified as it is expected that organisations will provide training in these areas for all staff as and when required. Likewise there are some abbreviations used for example, mention is made of the ICA suite of courses but there are too many to list individually.

Access to the training programmes listed can be sought either by contacting the professional body directly or the GTA University Centre on admin@gta.gg.





Junior Level Middle Management Senior Management / Director New Entrants AAT Advanced Diploma in IFA Level 4 Award for SME CAT ACCA ACCA / CIPFA/ ICAEW / CAI / ICAS/ CIMA **Financial Accountants Accounting** Accounting **AAT Foundation** CISI Level 6 Certificate in Private Certificate in Accounting CISI International Certificate in CISI Level 4 International CISI Private Client Advice unit CISI Level 7 Chartered Wealth Client Investment Advice & Certificate in Advanced Wealth Wealth & Investment Fund Manager Qualification Management Administration/ CLT Diploma in Fund Wealth/ Retail CLT Certificate in Fund CLT Advanced Certificate in Fund CISI Investment Advice Diploma Administration Administration Administration CISI Global Financial Compliance ICA Level 7 Professional ICA Level 4 Advanced Anti-Money Laundering CISI Diploma in Investment ICA Level 6 Diplomas Risk & Postgraduate Diplomas Compliance (AML) Awareness Certificates Compliance CISI Risk in Financial Services CISI Level 2 Award In Fundamentals ICA Level 3 Certificates CISI Combating Financial Crime of Financial Services Corporate TCGI Level 4 IFA Certificate TCGI Level 5 IFA Diploma **TCGI CGQP** Governance MSc Corporate Governance **CISI Managing Operational Risk** CISI Advanced Certificate in CISI Diploma in Investment / Graduate CG **CISI Investment Operations Operations** in Financial Institutions **Operational Risk** Certificate Operations CISI Advanced Certificate in CISI IT in Investment Operations **Global Securities Operations** CISI Capital Markets Programme Capital Markets/ CISI Level 4 Certificate in CISI Diploma in Capital Markets **Investment Management Corporate CISI Certificate in Corporate Finance** CISI Diploma in Corporate Finance **Finance** CISI Islamic Finance Qualification **CISI Fundamentals** CISI Diploma in Islamic Finance of Islamic Finance & + Advanced Diploma in Islamic **Islamic Finance Banking** Finance CMI Level 8 in Strategic **Direction and Leadership** CMI Level 3 in CMI Level 2 in CMI Levels 5/6 in ILM Levels 4/5 CMI Level 7 in Strategic Leadership & **Team Leading** Management & Leadership First Line Management Certificate and Diploma in Management & Leadership Leadership and Management MBA Management ILM Level 3 in **IoD Company Direction** ILM Level 2 in Programme Leadership & Management Leadership & Team Skills MSc Corporate Governance/ **Grad ICSA** CIM Level 7 Postgraduate CIM Level 4 Certificate in CIM Level 6 Diploma in CIM Level 3 Foundation Diploma in **Sales & Marketing Professional Marketing Professional Marketing** Certificate in Marketing **Professional Marketing**